

Convex hulls in \mathbb{R}^2

Sándor Kisfaludi-Bak

Computational Geometry
Summer semester 2020

Overview

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- Problem definition

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- Computational models, input and output

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- Naive algorithm

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Convex hull

Notations, definitions

\mathbb{R}^d is d -dimensional Euclidean space

$P = \{p_1, \dots, p_n\}$ set of n points

$X \subseteq \mathbb{R}^d$ is *convex* if for any $p, q \in X$ we have $pq \subseteq X$

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Convex hull:

$$\text{conv}(P) = \begin{cases} \text{minimum convex set containing } P \\ \text{intersection of convex sets containing } P \\ \{\alpha_1 p_1 + \dots + \alpha_n p_n \mid \alpha_i \geq 0 \text{ and } \sum_{i=1}^n \alpha_i = 1\} \end{cases}$$

Convex hull

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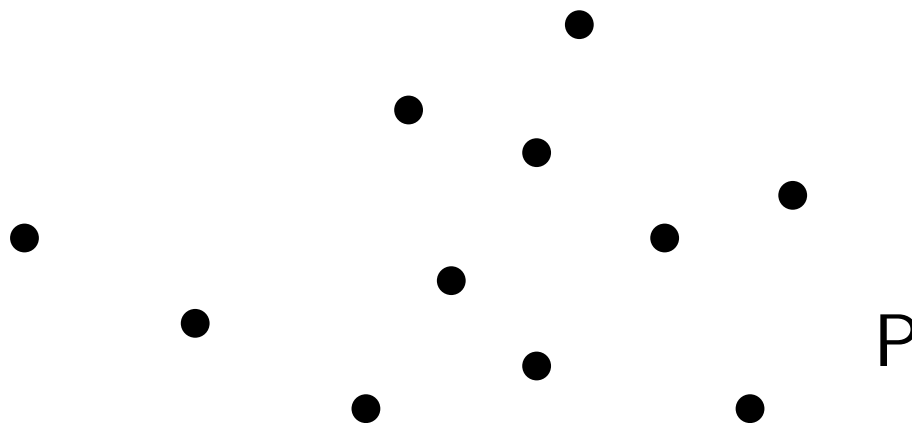
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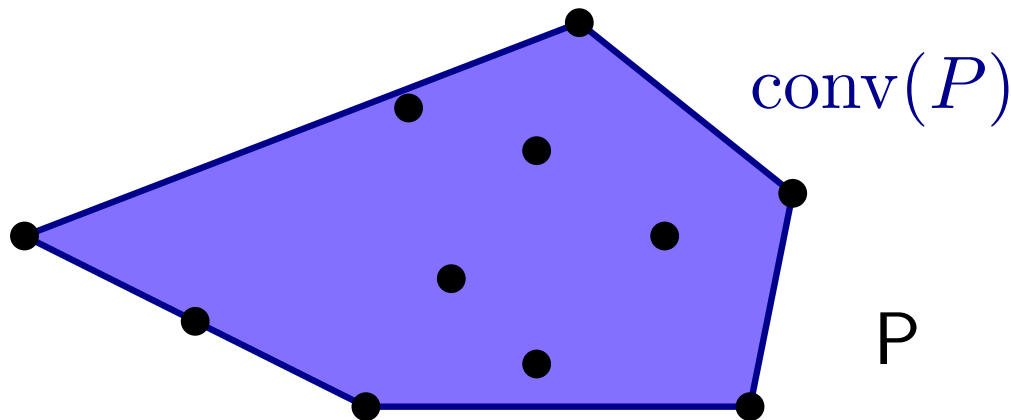
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Real RAM vs. Word RAM

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arbitrary real numbers

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words of size $\Theta(\log n)$

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Real inputs and outputs,
can extend with $\sqrt{\cdot}$, $\ln(\cdot)$

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Exact arithmetic for
rational inputs with $+ - */$

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Unrealistic power

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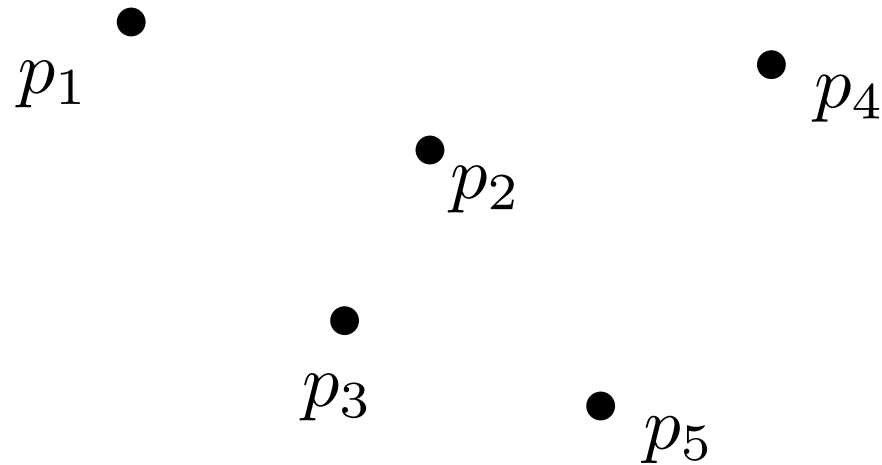
Exact arithmetic for
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Too restrictive?

Convex hull: input and output

Input: Points with coordinate pairs $(x, y) \in \mathbb{R}^2$

$(e, \pi), (3, 3), (2.95, 2.9), (\sqrt{11}, 3.05), (\pi, e)$



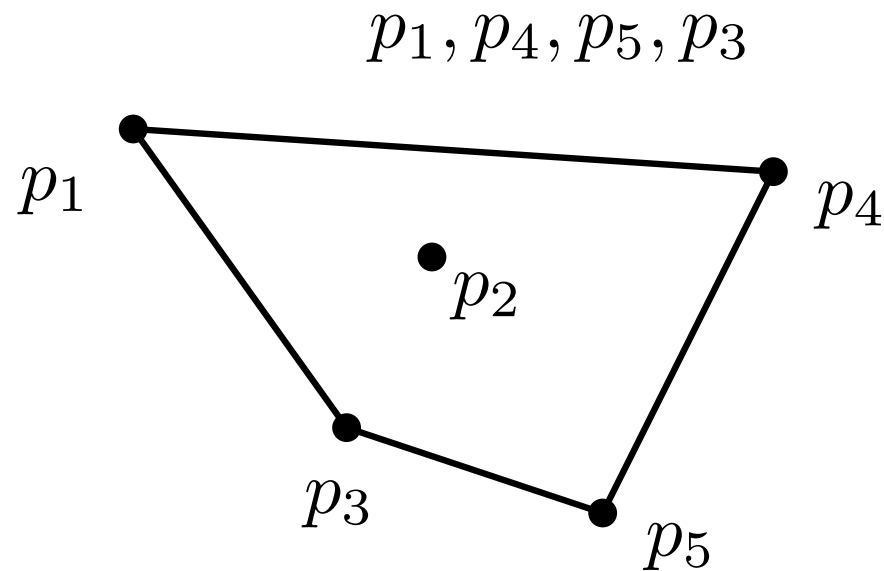
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smallest $Q \subseteq P$ s.t. $\text{conv}(Q) = \text{conv}(P)$



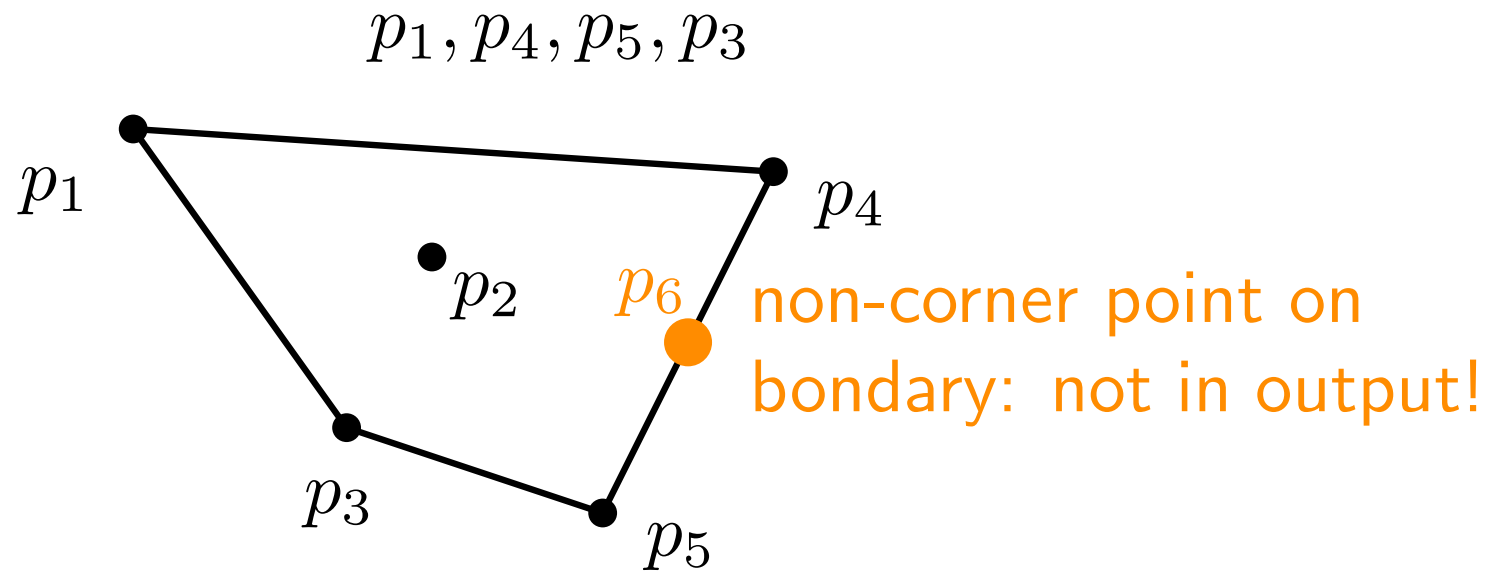
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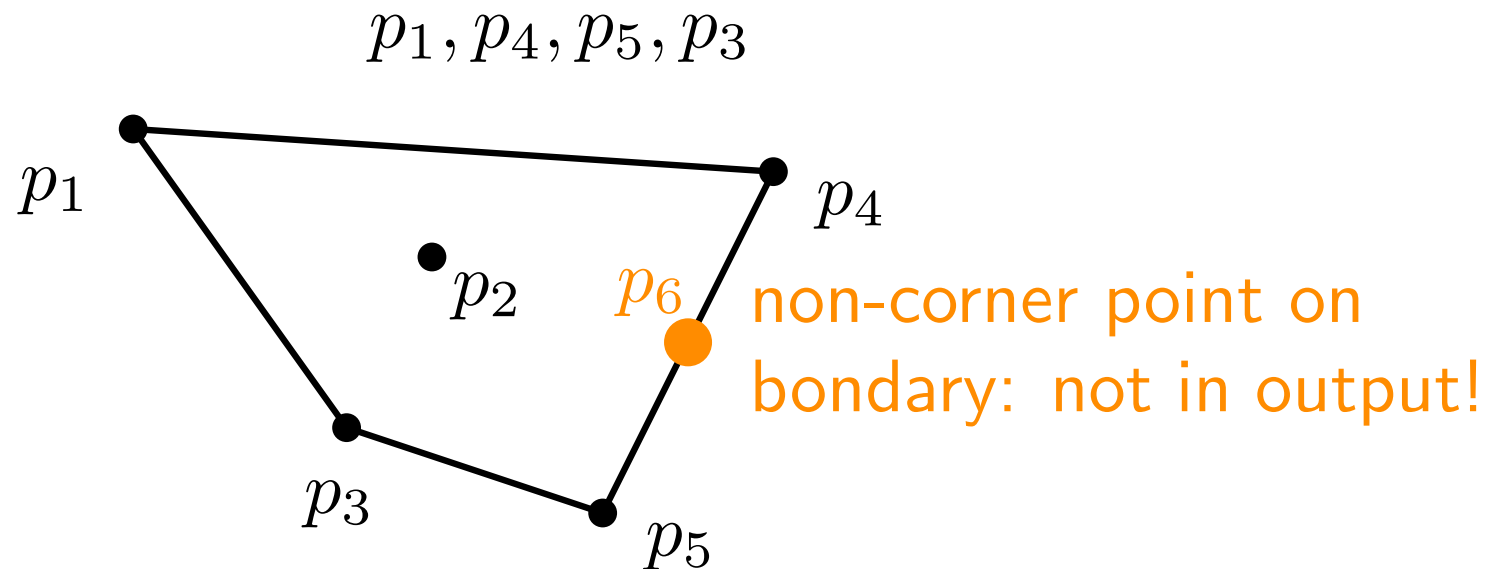
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Everything works with rational inputs on Word RAM!

Naive algorithm

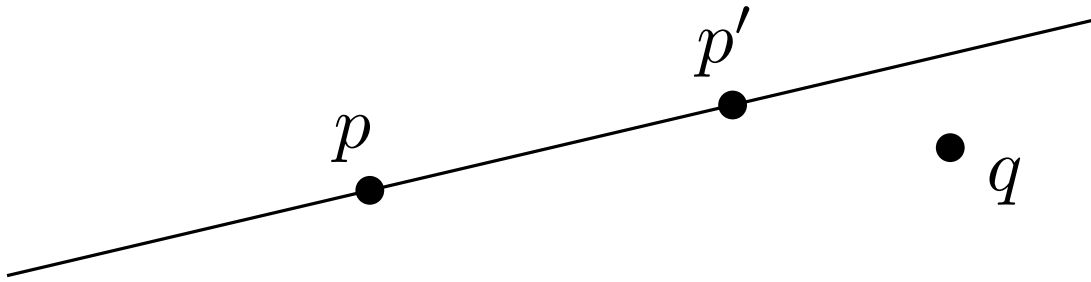
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Suppose no 3 points on one line. (no *collinear triples*)

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In $O(1)$ time, decide if q is on left or right side of line pp'

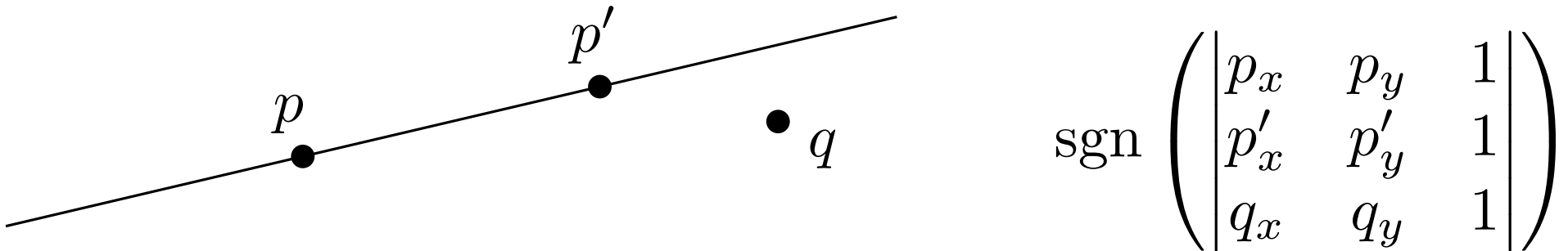


$$\text{sgn} \left(\begin{vmatrix} p_x & p_y & 1 \\ p'_x & p'_y & 1 \\ q_x & q_y & 1 \end{vmatrix} \right)$$

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Naive Convex Hull in \mathbb{R}^2

For each $p, p' \in P$,

check if all $q \in P \setminus \{p, p'\}$ is on the left of line pp' .

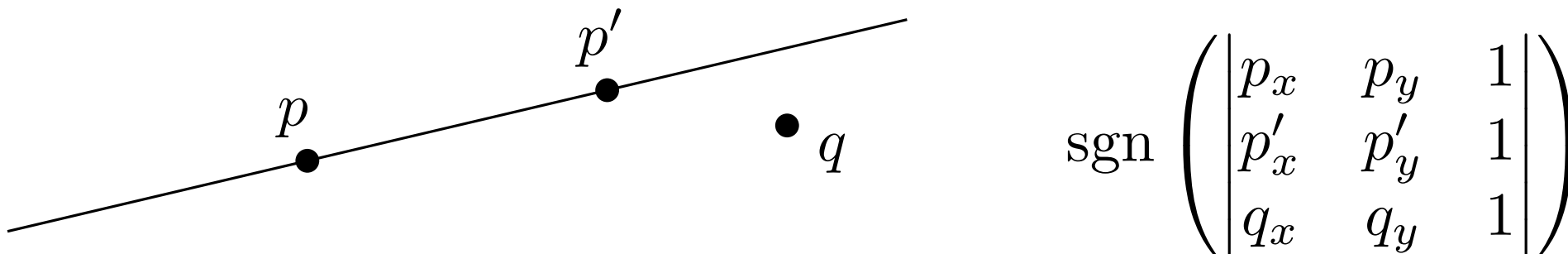
If yes, then p' follows p in $\text{conv}(P)$.

Assemble and output the hull

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Assemble and output the hull

Running time: $\binom{n}{2} \cdot (n - 2) \cdot O(1) = O(n^3)$

Graham's scan (1972)

Graham's Scan idea

Suppose points have distinct x -coordinates.

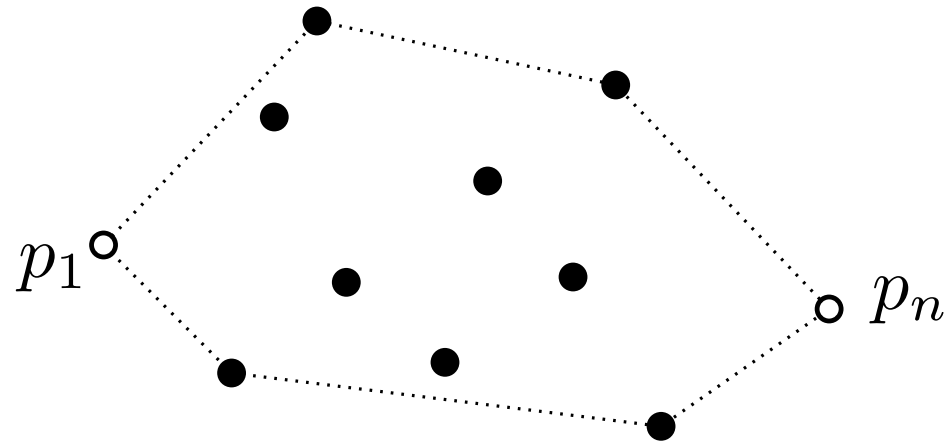
Let p_1, \dots, p_n : points sorted with increasing x -coordinates.

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$\rightarrow p_1, p_n$ are on convex hull



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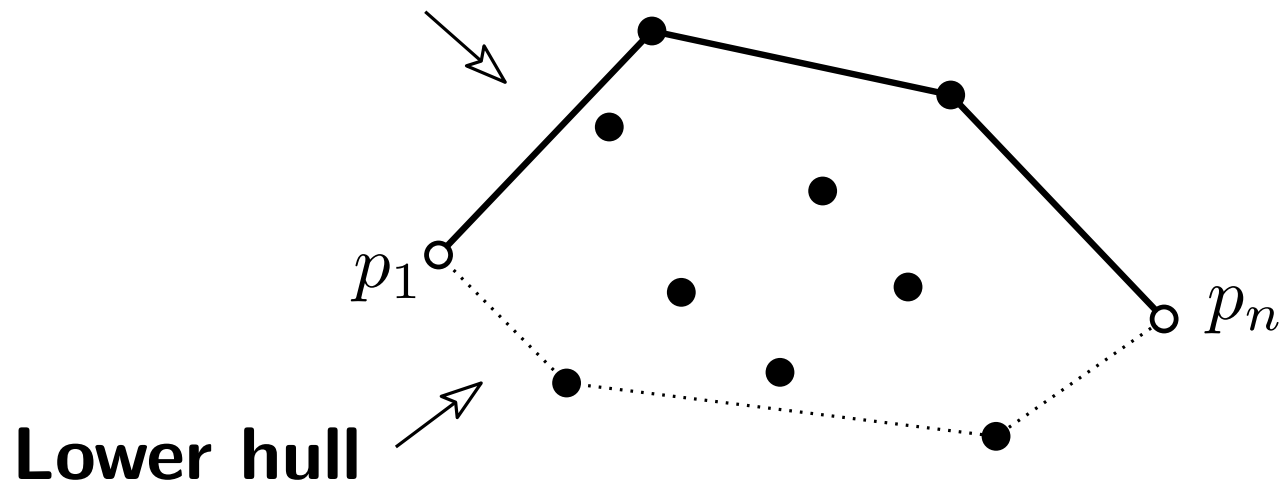
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Upper hull

part of the hull after p_1 and before p_n in clockwise order



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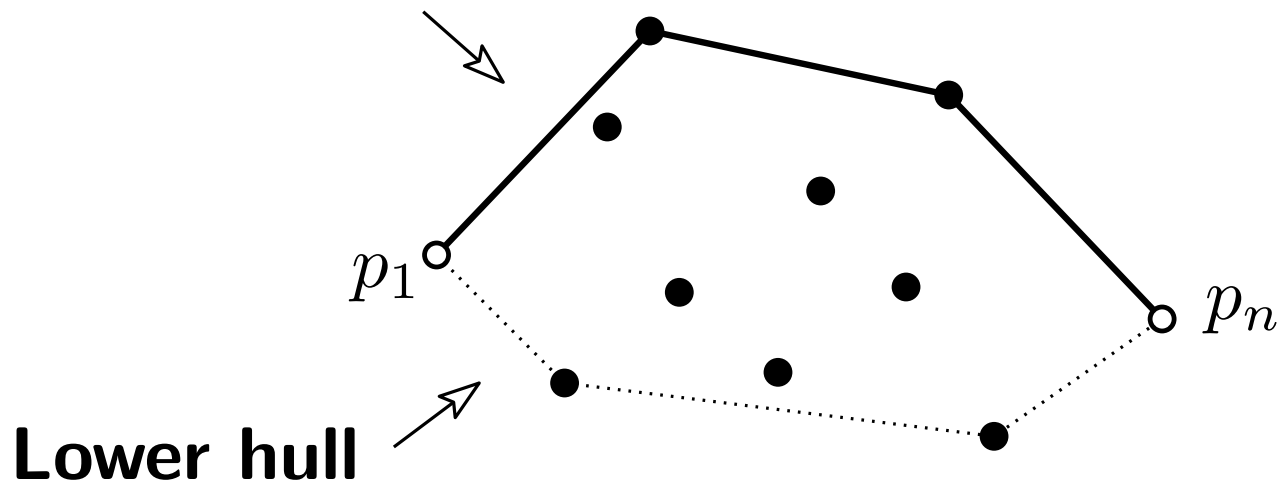
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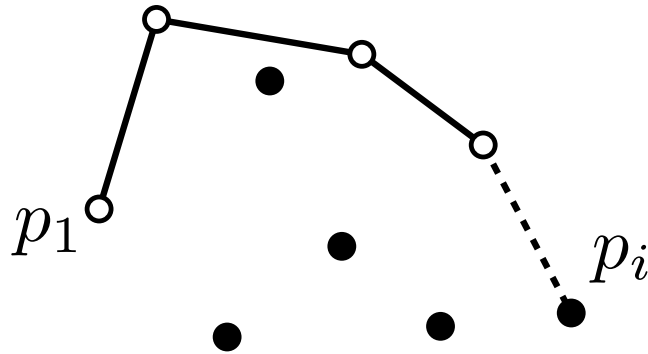
Idea:

Add points left to right, update upper hull after each addition

Graham's Scan: update

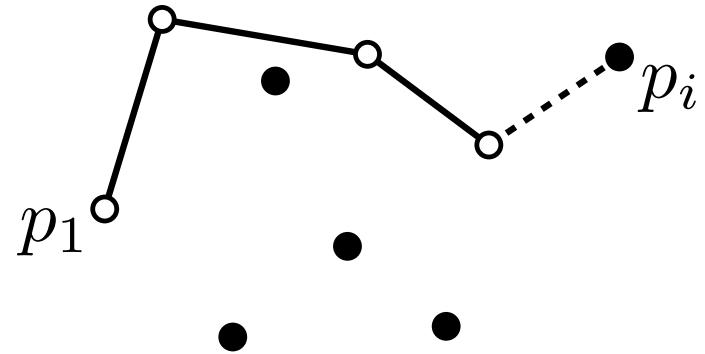
Right turn

(p_i is below last hull segment)



Left turn

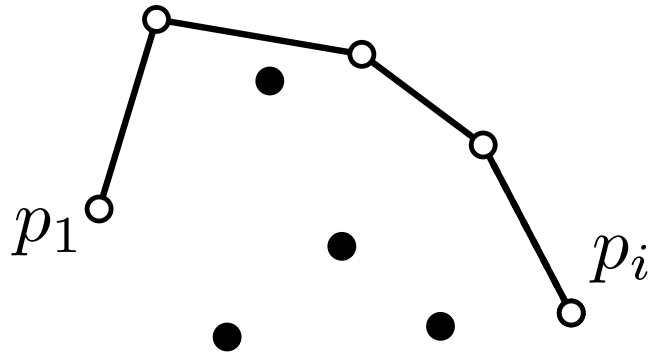
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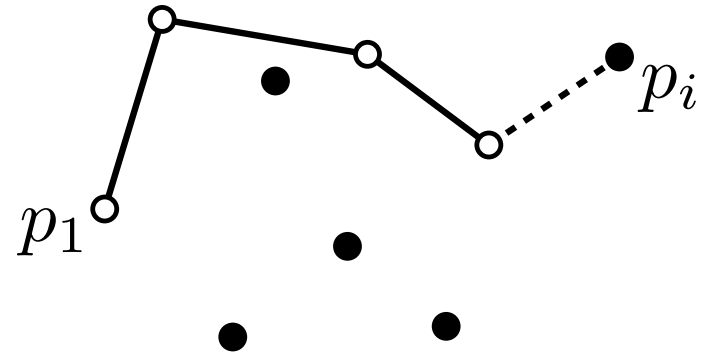
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Add p_i to the upper hull

Left turn

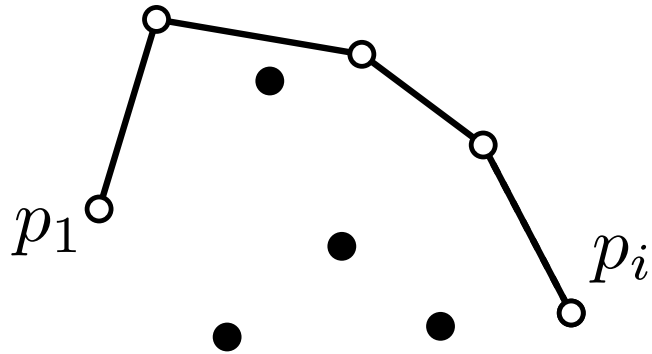
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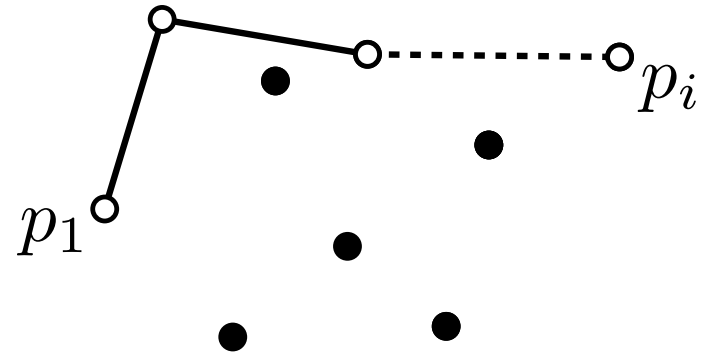
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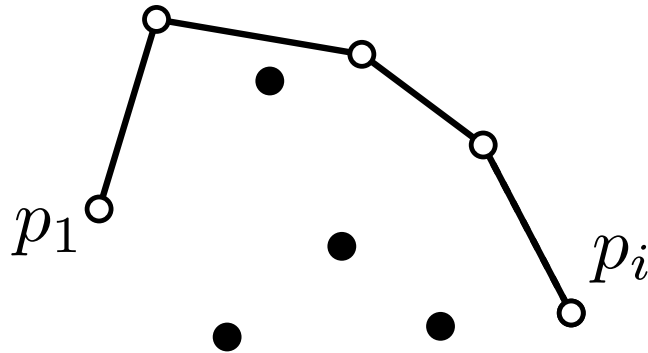
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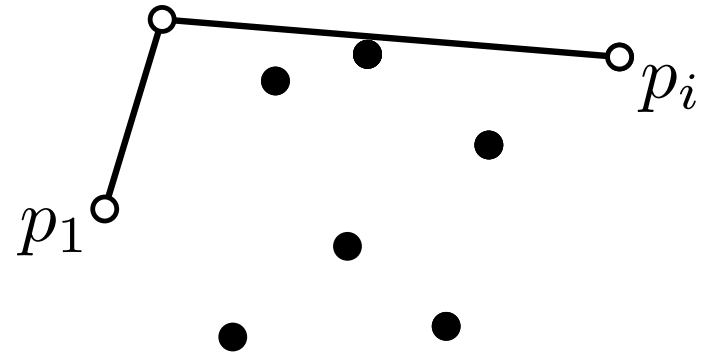
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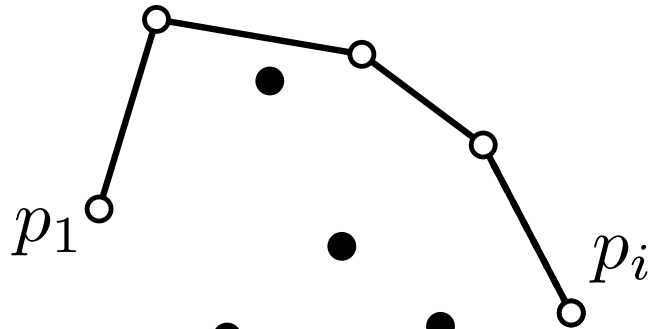
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Add p_i but remove previous hull point until left turn disappears

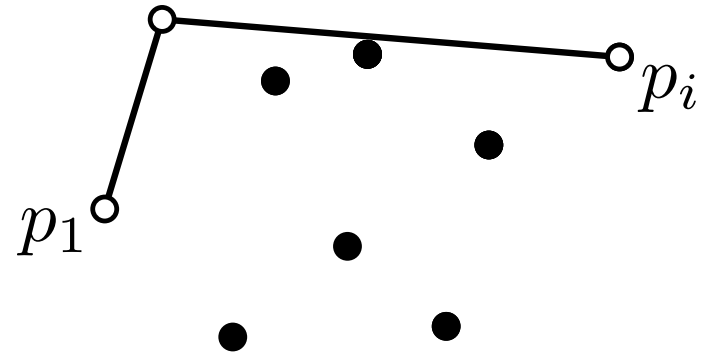
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Similarly for lower hull, after adding p_i :

while last three points of lower hull q, q', p_i are a right turn:
remove the middle point q'

Graham's Scan: pseudocode + runtime

Sort P by increasing x -coordinates

Add p_1, p_2 to U and L

for $i = 3$ to n **do**

 Add p_i to U and L

while last three pts of U form left turn **do**

 Remove pt preceding p_i from U

while last three pts of L form right turn **do**

 Remove pt preceding p_i from L

return L and reverse of U

Graham's Scan: pseudocode + runtime

```
Sort  $P$  by increasing  $x$ -coordinates
Add  $p_1, p_2$  to  $U$  and  $L$ 
for  $i = 3$  to  $n$  do
    Add  $p_i$  to  $U$  and  $L$ 
    while last three pts of  $U$  form left turn do
        Remove pt preceding  $p_i$  from  $U$ 
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return  $L$  and reverse of  $U$ 
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Running time:

Sorting

→ $O(n \log n)$

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Running time:

Sorting

→ $O(n \log n)$

Each $p \in P$ is:

added once to U (same for L) → $O(n)$

removed at most once from U (same for L) → $O(n)$

Triplets checked in While loop heads

→ $O(n)$

Graham's Scan: pseudocode + runtime

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Graham's Scan: correctness

Claim

After each iteration of main loop, U is upper hull of p_1, \dots, p_i .

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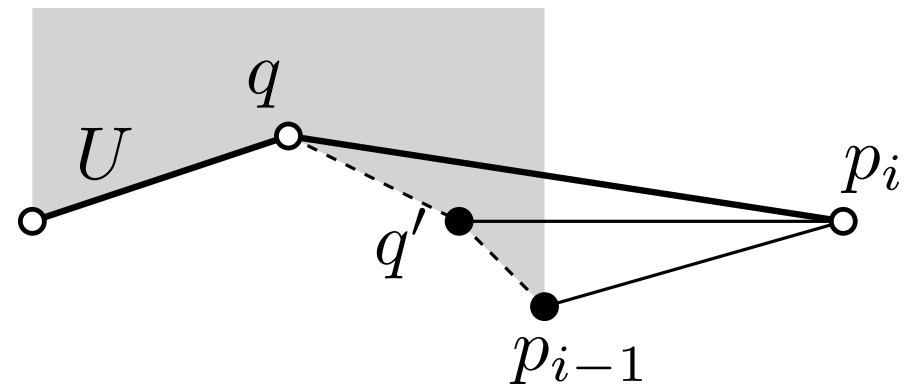
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Induction on i . Works for $i \leq 2$.

Suppose U is the upper hull of p_1, \dots, p_{i-1}

\Rightarrow Gray is empty

p_i is added to U ✓



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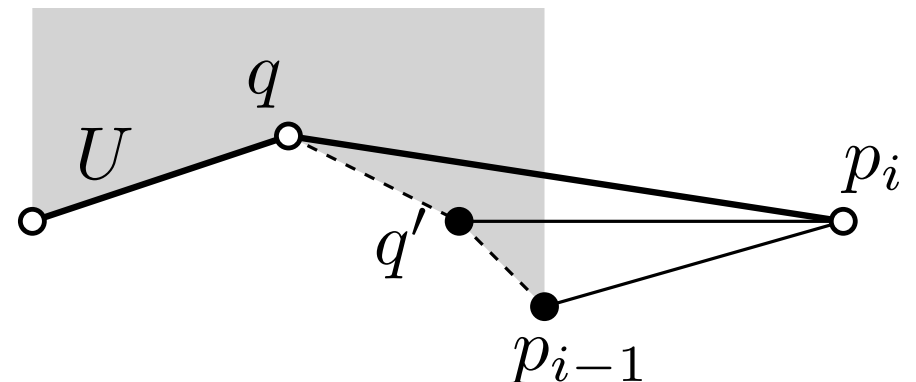
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q', p_{i-1}, p_i "left turn" $\Leftrightarrow p_{i-1}$ is below $q'p_i$.

Similarly, q' is below qp_i

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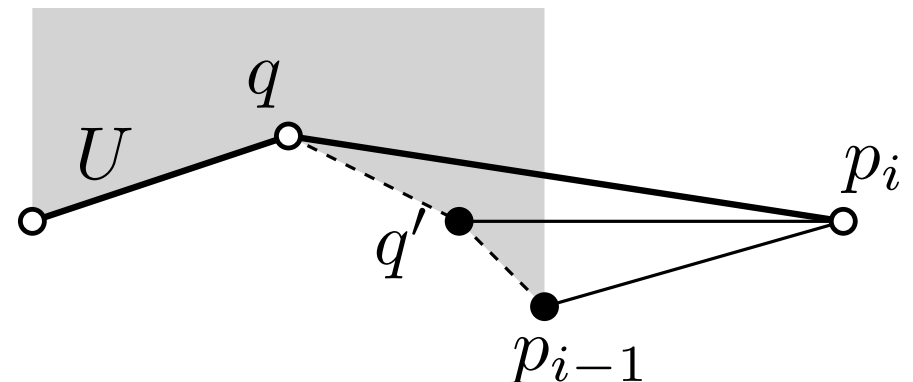
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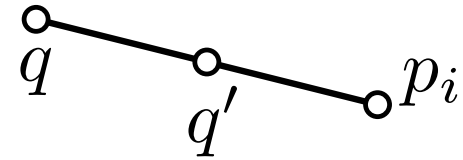
\Rightarrow old U and all of p_1, \dots, p_{i-1}
are on or below new U \square



Graham's Scan: non-general position

- collinear triple:

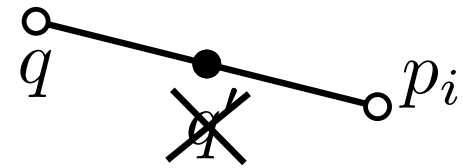
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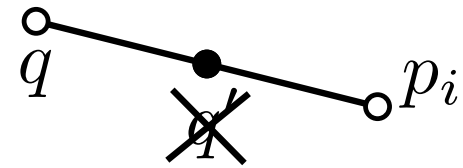
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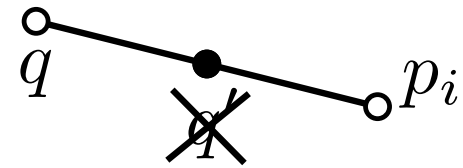


- equal x -coordinates:

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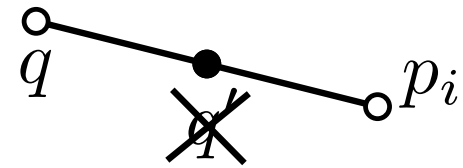
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$$(x, y) <_{lex} (x', y') \text{ iff } x < x' \vee (x = x' \wedge y < y')$$

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p_1, p_n are still on the hull.

Upper hull U : part of hull after p_1 and before p_n in cw order

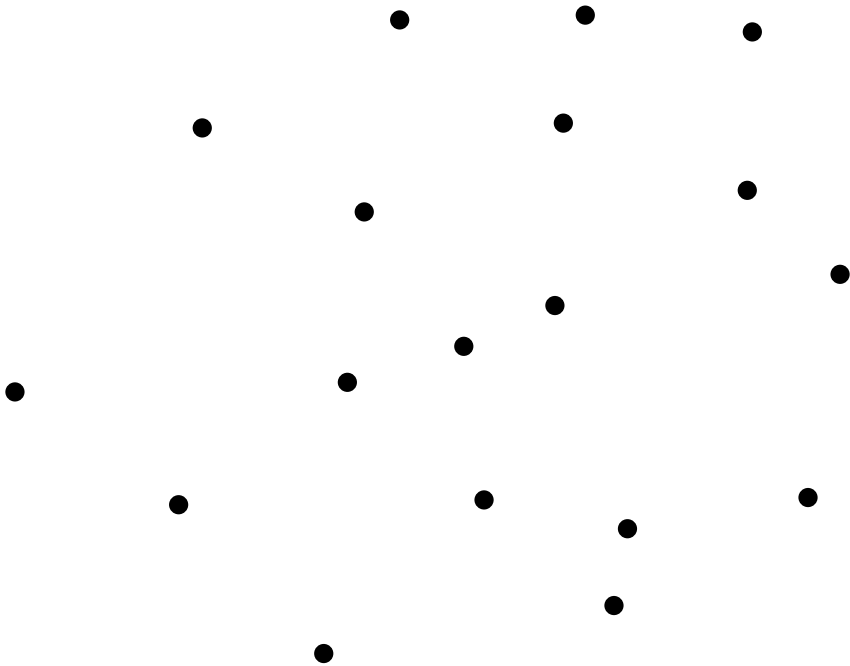
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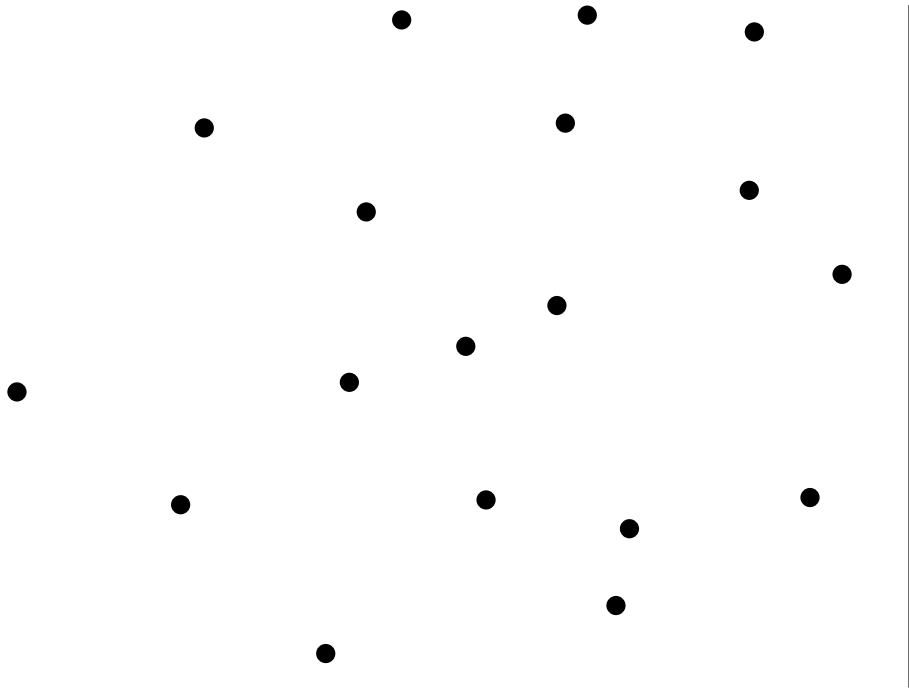
Almost collinear triples are also common!



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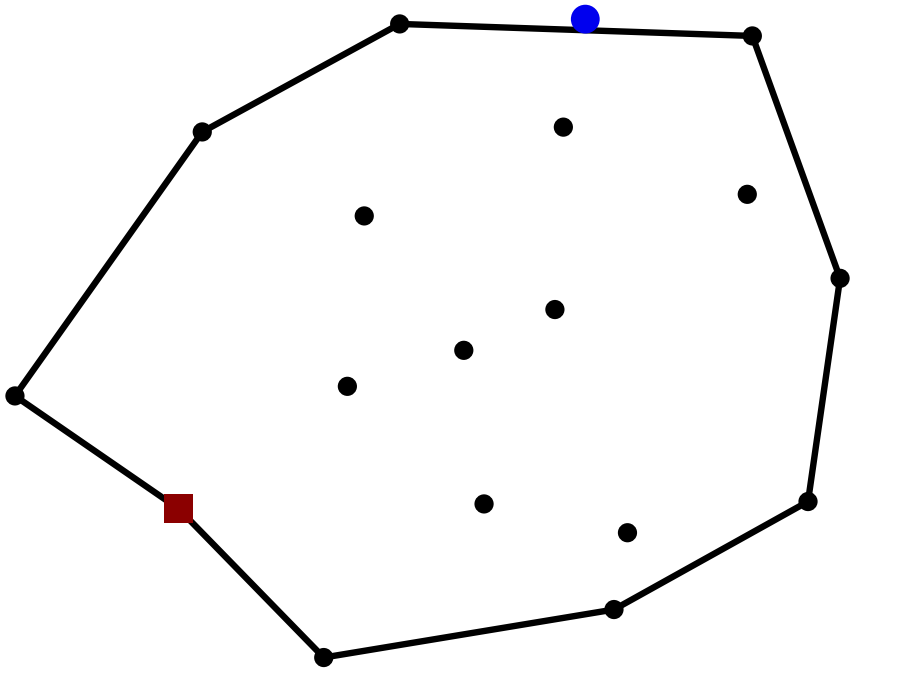
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↑ not peer-reviewed source

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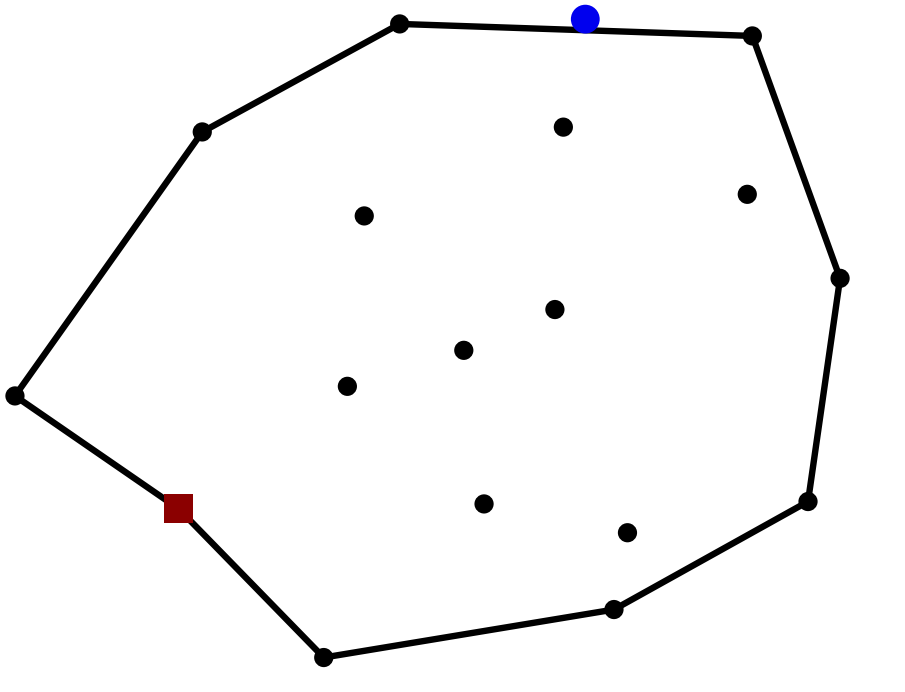
Naive floating point implementation of Real RAM:

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Naive floating point implementation of Real RAM:

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Good software libraries (e.g. CGAL) can protect you.

Chan's algorithm (1996)

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Input size: natural lower bound for most problems

Output size: same! (but useless for decision problems)

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$$O(n^{n-2} \cdot n) \text{ vs. } O(\text{\#of trees} + n + |E|) \text{ time}$$

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What about $O(n + h \log h)$?

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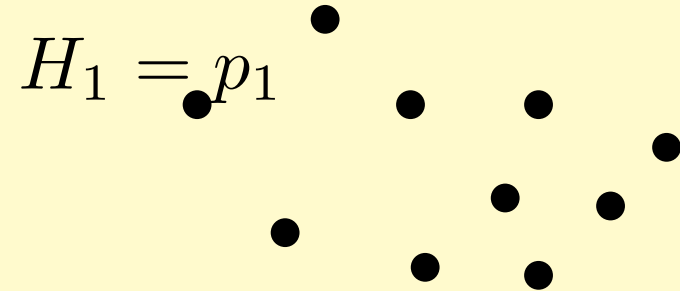
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Based on the *Gift wrapping* algorithm:
start from p_1 , and go around finding next hull vertex.

- Find p_1 : $\rightarrow O(n)$



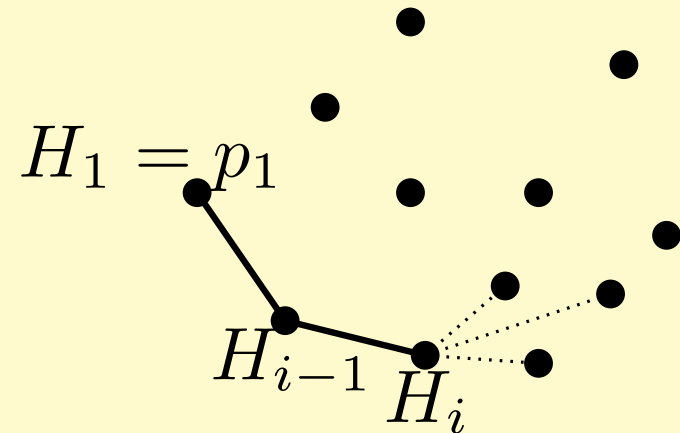
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Based on the *Gift wrapping* algorithm:
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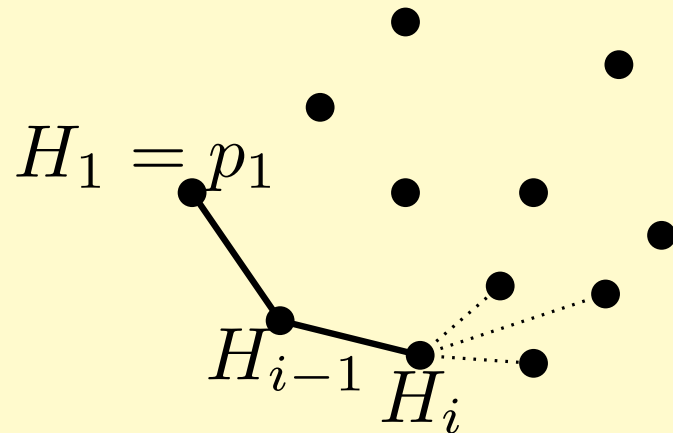
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- Repeated h times
 $\rightarrow O(nh)$ time in total



Chan's algorithm

Let $m \in \{1, \dots, n\}$ be a parameter.

Group P into groups of size m

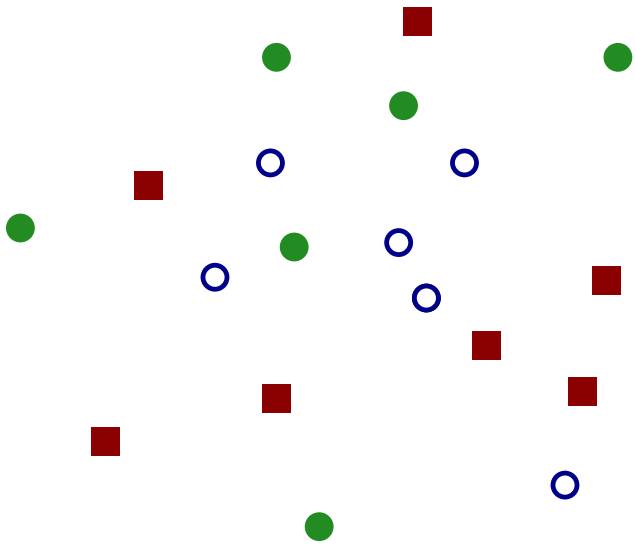
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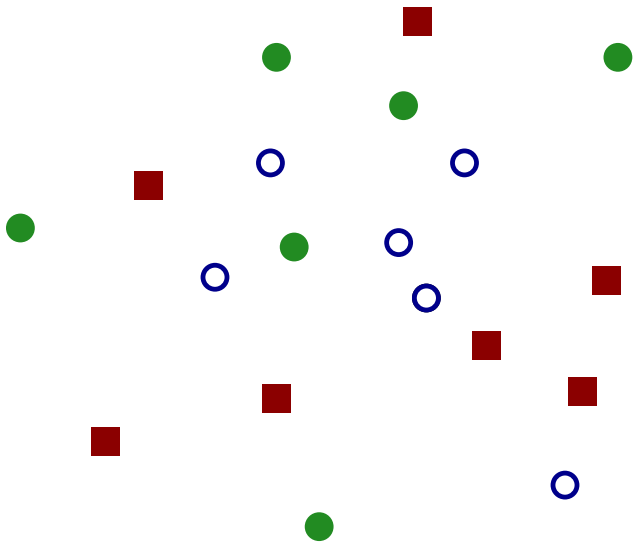
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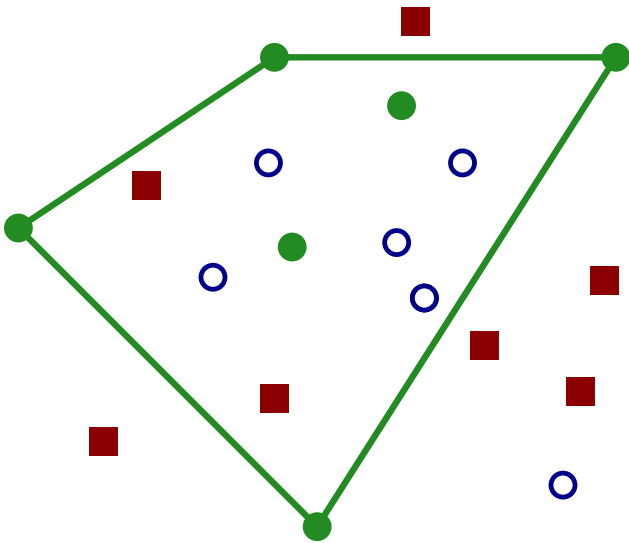
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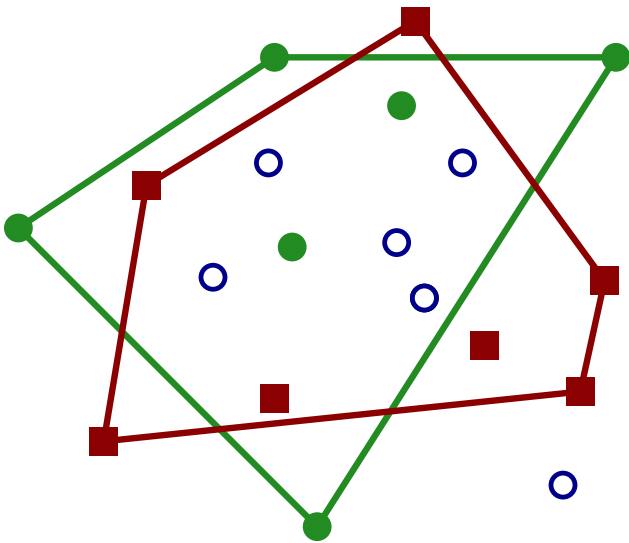
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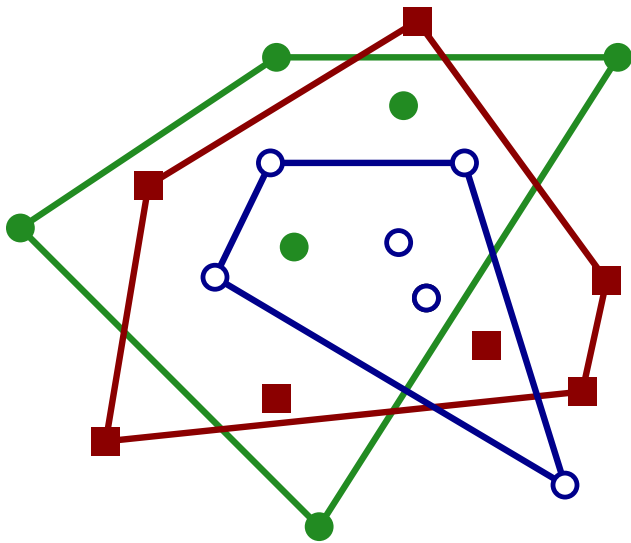
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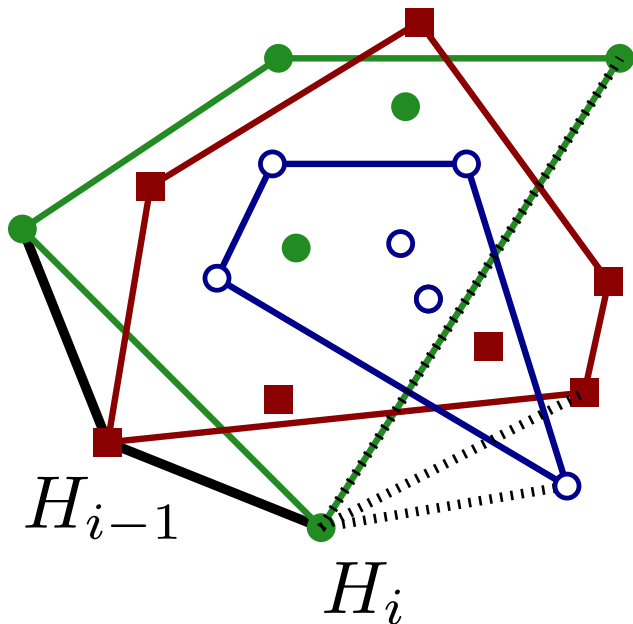
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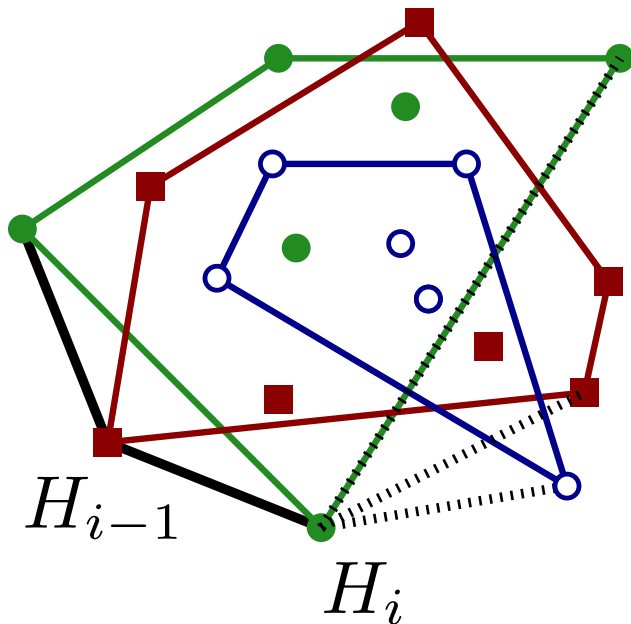
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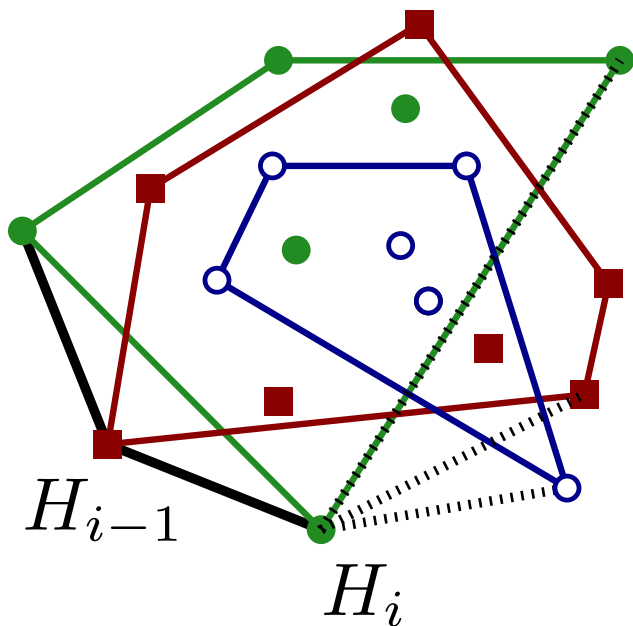
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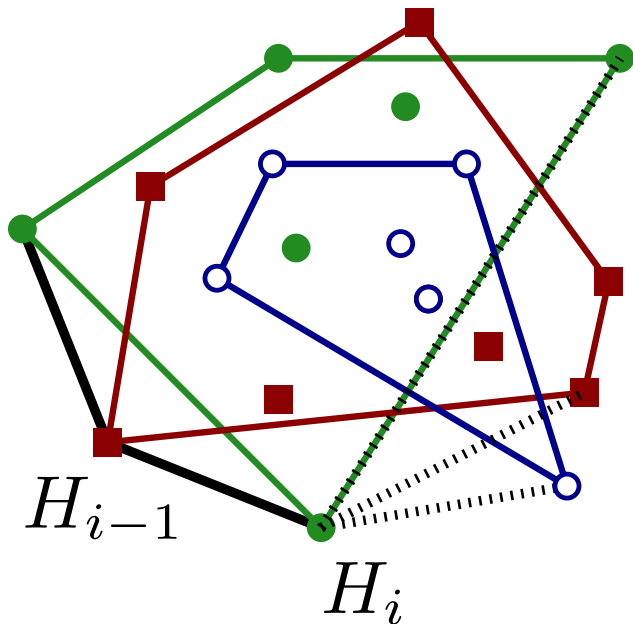
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Time to find tangent of $\text{conv}(P_j)$

Chan's algorithm: running time and tangent finding

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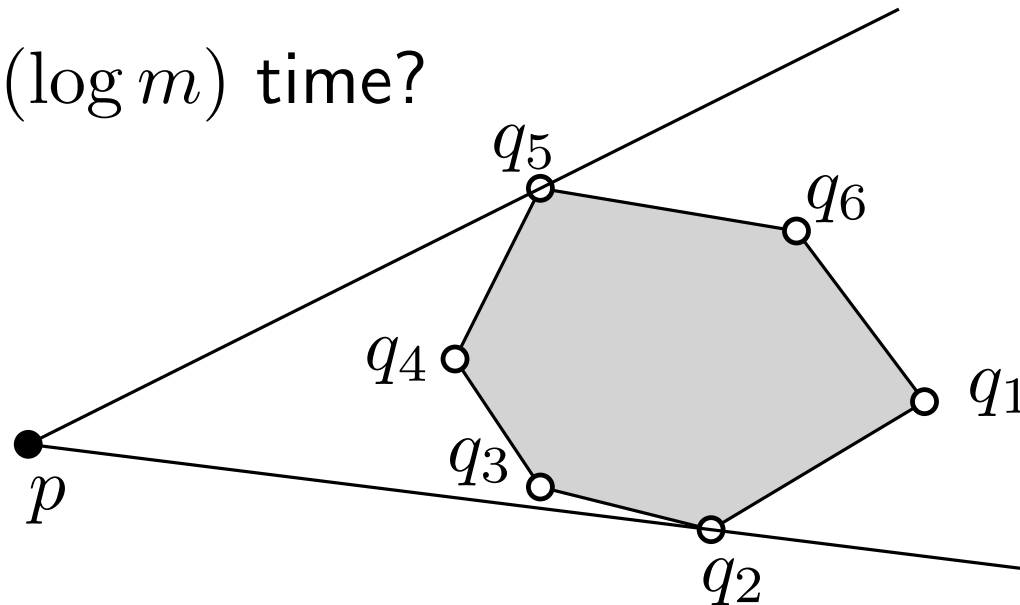
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Tangent finding in $O(\log m)$ time?



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Idea 1: Let $m = 1, 2, \dots, n$, run giftwrapping for m steps
Stop when gift is wrapped. (Then $m \geq h$ holds.)

$$\sum_{m=1}^h cn \log m = \Omega(hn) \dots$$

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Idea 1: Let $m = 1, 2, \dots, n$, run giftwrapping for m steps

Idea 2: Let $m = 2^1, 2^2, 2^3, \dots, 2^{\log n}$, run wrapping for m steps

$$\sum_{i=1}^{\lceil \log h \rceil} cn \log 2^i = \sum_{i=1}^{\lceil \log h \rceil} cni = \Theta(n \log^2 h) \dots$$

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Idea 3: Let $m = 2^{2^0}, 2^{2^1}, 2^{2^2}, \dots, 2^{2^{\lceil \log \log n \rceil}}$, do m wrap-steps

$$\begin{aligned} \sum_{i=0}^{\lceil \log \log h \rceil} cn \log 2^{2^i} &= \sum_{i=0}^{\lceil \log \log h \rceil} cn 2^i \\ &= cn(2^{\lceil \log \log h \rceil + 1} - 1) = O(n \log h) \end{aligned}$$

Chan's algorithm: Recap

```
for  $i = 1$  to  $\lceil \log \log n \rceil$  do  
   $m = 2^{2^i}$   
  for  $j = 1$  to  $\lceil n/m \rceil$  do  
    Create group  $P_j$   
     $(q_1^j, q_2^j, \dots, ) = \text{Graham}(P_j)$   
  
   $H_1 =$ leftmost point in  $P$   
  for  $s = 2$  to  $m$  do  
    for  $j = 1$  to  $\lceil n/m \rceil$  do  
       $q^j = \text{TangentFind}(H_{s-1}, (q_1^j, q_2^j, \dots))$   
  
     $H_s =$  point  $q^j$  maximizing  $\sphericalangle H_{s-2}H_{s-1}q^j$   
    if  $H_s = H_1$  then return  $(H_1, \dots, H_{s-1})$ 
```