Convex hulls in \mathbb{R}^3

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• Computaitonal model, input and output

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• Preparata–Hong divide&conquer algorithm (1977)

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• Clarkson–Shor randomized incremental construction (1989)

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• Higher-dimensional convex hulls

Convex hull: input and output

Input: Points with coordianate pairs $(x, y, z) \in \mathbb{R}^3$ $(e, \pi, 1), (3, 3, \sqrt{5}), (2.95, 2.9, 2^{1.2}), (\sqrt{11}, 3.05, \sqrt[3]{3})$

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Doubly connected edge list, facets are ccw cycles from outside arcs know: opposite, next, prev arc

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$$\begin{aligned} 2 \cdot \#(edges) &\geq 3 \cdot \#(faces) \\ \Rightarrow \#(edges) &= h + \#(faces) - 2 \\ &\leq h + \frac{2}{3} \#(edges) - 2 \end{aligned}$$

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Total complexity (vertices+edges): $\leq 4h - 6 = O(h)$.

Basic operation and naive approach

Suppose no 3 pts on one line, no 4 pts in one plane.

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In O(1) time, decide if q is above/below/on plane $pp^\prime p^{\prime\prime}$



Naive Convex Hull in \mathbb{R}^3

For each $p, p', p'' \in P$, check if all $q \in P \setminus \{p, p', p''\}$ is on same side of plane pp'p''. If yes, then pp'p'' is a face.

Running time: $\binom{n}{3} \cdot (n-3) \cdot O(1) = O(n^4)$

\mathbb{R}^3 convex hull with divide and conquer (Preparata–Hong, 1977)

 $(p_1, \ldots, p_n) = \text{LEXICOGRAPHICSORT}(P)$ $H = \text{HULL3DIM}((p_1, \ldots, p_n))$

function HULL3DIM($(p_1, ..., p_n)$) if $n \leq 4$ then return NAIVEHULL($(p_1, ..., p_n)$) $H_1 = HULL3DIM((p_1, ..., p_{\lfloor n/2 \rfloor}))$ $H_2 = HULL3DIM((p_{\lfloor n/2 \rfloor + 1}, ..., p_n))$ $H = MERGE(H_1, H_2)$ return H

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$$T(n) = 2T(n/2) + O(n)$$

Recursion depth= $O(\log n) \Rightarrow T(n) = O(n \log n)$

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We need to merge in O(n) time!

Starting the merge



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Project H_1 and H_2 to xy plane Get common tangents (as in Assignment 1/7) $\rightarrow O(n)$

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 $\pi(p_i)\pi(p_j)$ is segment of $\pi(\operatorname{conv}(H_1)) \cup \pi(\operatorname{conv}(H_2))$ $\Rightarrow p_i p_j$ is a segment of $\operatorname{conv}(P)$.



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Last face found: F_i , last edge found: e_i Repeat: Find $p \in P$ maximizing $\sphericalangle ((\text{plane } e_i p), (\text{plane } F_i)) \longrightarrow O(n)$



The result is a "cylinder". Naive runtime: $O(n^2)$

Smart merge idea



 $\max_{p \in P} \sphericalangle \left((\text{plane } uvp), (\text{plane } F_i) \right) \rightarrow p \text{ must be neighbor of } u \text{ or } v$

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Check angles of N(v) in cw order.



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Check angles of N(v) in cw order. If $v_i \in p$ has largest angle $\Rightarrow v_2, \dots, v_{i-1}$ are *inside* conv(P)!



Smart merge

function $MERGE(H_1, H_2)$

 $uv = \text{starting edge (form common tangent of } \pi(H_1) \text{ and } \pi(H_2))$ $\hat{u} = \operatorname{argmax}_{u' \in N(u)} \triangleleft (uvu', uv\pi(u))$ $\hat{v} = \operatorname{argmax}_{v' \in N(v)} \triangleleft (uvv', uv\pi(u))$

repeat

if \hat{v} has larger angle than \hat{u} then

 $v_{prev} = v, \quad v = \hat{v}$ Add face $F = uvv_{prev}$ to HIf F is coplanar with previous face, merge. for i = 2 to |N(v)| do \triangleright in cw order if $\triangleleft (uvv_i, uvv_{prev}) > \triangleleft (uvv_{i-1}, uvv_{prev})$ then Remove edge vv_{i-1} from H_2 else $\hat{v} = v_{i-1}$, Break

else

(same, but swap v and u, H_2 and H_1 , cw and ccw) until uv = starting edge return merge of cylinder H with H_1 and H_2

Smart merge

function $MERGE(H_1, H_2)$

 $\begin{aligned} uv &= \text{starting edge (form common tangent of } \pi(H_1) \text{ and } \pi(H_2)) \\ \hat{u} &= \operatorname{argmax}_{u' \in N(u)} \sphericalangle(uvu', uv\pi(u)) \\ \hat{v} &= \operatorname{argmax}_{v' \in N(v)} \sphericalangle(uvv', uv\pi(u)) \end{aligned}$ init

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if \hat{v} has larger angle than \hat{u} then

 $\begin{array}{ll} v_{prev} = v, \quad v = \hat{v} \\ \hline \text{Add face } F = uvv_{prev} \text{ to } H & \textbf{Face add} \\ \hline \text{If } F \text{ is coplanar with previous face, merge.} \\ \hline \text{for } i = 2 \text{ to } |N(v)| \text{ do } & \triangleright \text{ in cw order} \\ \textbf{if } \sphericalangle(uvv_i, uvv_{prev}) > \sphericalangle(uvv_{i-1}, uvv_{prev}) \text{ then} \\ \hline \text{Remove edge } vv_{i-1} \text{ from } H_2 \\ \hline else \\ \hat{v} = v_{i-1}, \text{ Break} & \textbf{Step} \end{array}$

else

(same, but swap v and u, H_2 and H_1 , cw and ccw)

until uv =starting edge

return merge of cylinder H with H_1 and H_2 Cylinder merge

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- $\rightarrow \text{ deleting an edge} \qquad H_1, H_2 \text{ have } O(n) \text{ edges} \\ \rightarrow \text{ making the step} \qquad \text{Final cylinder has size } O(n)$
- \Rightarrow Amortized O(1) (overall O(n)) time.

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Preparata-Hong divide&conquer takes $O(n \log n)$ time.

\mathbb{R}^3 Convex hull with rand. incremental construction (Clarkson and Shor 1989)

• Add points one at a time, update $H_i = \text{planar graph for } \operatorname{conv}(p_1, \ldots, p_i).$

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 \Rightarrow if we add p_{i+j} , we must delete F

Find 4 points forming a tetrahedron, set up H_4

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Randomly permute the other points: p_4, \ldots, p_n , and set up C_4 n-4 unproc. pts 4 faces $\Rightarrow O(n)$

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Adding p_i :



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remove conflicting faces
using conflict graph

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- ightarrow walk horizon and add new faces
 - if coplanar face:
- \rightarrow merge faces. same conflict list!

Updating conflict lists

• Remove p_i from conflict graph

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Lemma We have
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Long proof, see Dutch book

$$\mathbb{E}\left(\sum_{i=5}^{n}\sum_{e\in \mathrm{horizon}(i)}|U_{i}^{e}|\right) = O(n\log n).$$

Lemma The algo. creates at most 6n - 20 faces in expectation.

Imagine removing p_n , then p_{n-1}, \ldots, p_5 from conv(P). Fix *i*. $deg^i(p) := degree of p in the convex hull of <math>p_1, \ldots, p_i$

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Imagine removing p_n , then p_{n-1}, \ldots, p_5 from $\operatorname{conv}(P)$. Fix i. $\operatorname{deg}^i(p) := \operatorname{degree} \operatorname{of} p$ in the convex hull of p_1, \ldots, p_i • $\operatorname{conv}(p_1, \ldots, p_i)$ has $\leq 3i - 6$ edges $\Rightarrow \sum_{j=1}^i \operatorname{deg}^i(p_j) \leq 6i - 12.$

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$$\mathbb{E}(\deg^{i}(p_{i})) = \frac{\sum_{j=5}^{i} \deg(p_{j})}{i-4} \le \frac{6i-12-12}{i-4} = 6$$

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 $\Rightarrow \mathbb{E}(\text{total } \#\text{created faces}) = 4 + \sum_{j=5} \mathbb{E}(\deg^j(p_j)) \le 6n - 20.$
Chan's algorithm in \mathbb{R}^3

Chan's algorithm recap and changes P into size m groups P_j $(j = 1, ..., \lceil n/m \rceil)$

Precompute each $conv(P_j)$ in $O(m \log m)$

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Chan's algorithm recap and changes Graham's scan Preparata–Hong P into size m groups $P_j (j = 1, \ldots, \lceil n/m \rceil)$ Precompute each $\operatorname{conv}(P_j)$ in $O(m \log m)$ $i = 1, \dots, \lceil \log \log n \rceil$ $f = 2^{2^i}$ Run modified gift wrapping for m steps: Find tangent q^j in each P_j in $O(\log m)$ Wrap to largest angle tangent among q^j

Wrap done on edge e as in cylinder of Preparata–Hong BFS on faces: new face \rightarrow find neighboring edges BFS has $|E(H^*)| = |E(H)| \leq 3h - 6$ steps

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Running time analysis remains unchanged. $O(n \log h)$

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- 2. $Q_i \supset Q_{i+1}$ and $V(Q_i) \supset V(Q_{i+1})$
- 3. $V(Q_i) \setminus V(Q_{i+1})$ is an independent set in $G(Q_i)$.

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Constructing the DK hierarchy

Theorem Given Q, a DK hierarchy with $k = O(\log n)$, size $\sum_{i=1}^{k} (|V(Q_i)|) = O(n) \text{ and degree}$ $\max_{i} \max\{\deg_{G(Q_i)}(v) \mid v \in V(Q_i) \setminus V(Q_{i+1})\} \le 11$ can be computed in O(n) time. Constructing the DK hierarchy

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Proof. Iteratively remove set S, a greedy maximal independent set among vertices of degree ≤ 11 .

 $\begin{array}{l} \mbox{Claim: } |S| \geq |V(Q)|/24. \\ \mbox{Suppose not: } |S| < |V(Q)|/24 \\ \Rightarrow \bigcup_{s \in S} N[s] < |V(Q)|/2 \\ \Rightarrow G(Q) \mbox{ has } \geq |V(Q)|/2 \mbox{ vertices of degree } \geq 12 \\ \Rightarrow G(Q) \mbox{ has } \geq (|V(Q)|/2) \cdot 12/2 = 3|V(Q)| \mbox{ edges} \end{array}$

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for i = 1 to $\lceil \log \log(3n - 6) \rceil$ do $m = 2^{2^{i}}$ for j = 1 to $\lceil n/m \rceil$ do Create group P_i $H_i = \mathsf{Preparata-Hong}(P_i)$ Compute dual D–K hierarchy of H_i $F_0 =$ starting face, e_1, e_2, e_3 : ccw arcs of E(F), $H.add(F_0), Queue = (e_1, e_2, e_3), Seen = \{e_1, e_2, e_3\},\$ for s = 2 to m do e = Queue.nextfor j = 1 to $\lceil n/m \rceil$ do $q^{j} = TangentFind(e, dualDK(H_{i}))$ $q = \text{point } q^j \text{ maximizing } \sphericalangle((\text{plane } e, q^j), F(e))$ H.add(face e, q), e', e'' = next arcs of face e, qif $e' \notin Seen$ then Queue.add(e'), Seen.add(e')if $e'' \notin Seen$ then Queue.add(e''), Seen.add(e'')return H

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H is a triangulation of the hull.

Postprocess: merge at edge if neighboring faces are coplanar $(\rightarrow O(n))$

Higher-dimensional convex hulls







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Let P be distinct points on the moment curve $\{(x, x^2, x^3, \dots, x^d) \mid x \in \mathbb{R}\}.$ Then $\operatorname{conv}(P)$ has the maximum number of k-faces for all $k \in [d]$.


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 $O(n \log n + n^{\lfloor d/2 \rfloor})$ achieved by many algorithms