#### Local Search for Hitting Set and Set Cover

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• r-divisions in planar graphs

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- Hitting set and set cover via local search

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- Locality condition for halfspaces

#### Balanced separator for a subset

**Observation.** Given planar graph G = (V, E) and a vertex set  $W \subset V$ , G has separator of size  $O(\sqrt{n})$  s.t. each side has  $\leq 36/37|W|$  vertices from W.

Proof.

Start proof with smallest square that encloses  $\geq |W|/37$  disks from W.

## r-divisions

**Theorem (Frederickson 1987)** For any  $r \in \mathbb{Z}_+$  and planar graph G, there are O(n/r) vertex sets  $V_1, V_2, \ldots$  satisfying

- every edge is induced by some  $V_i$
- $|V_i| \le r$
- small boundaries:  $\partial V_i = V_i \cap (\bigcup_{j \neq i} V_j)$ ,  $|\partial V_i| = O(\sqrt{r})$
- small total boundary set:  $\sum_i |\partial V_i| = O(n/\sqrt{r})$



*Proof sketch.* Use planar separator theorem.

Recursively divide until size  $\leq r$ 

- X := union of separators throughout.
- *V<sub>i</sub>*: final group+neighborhood

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- $V_i$ : final group+neighborhood

group size  $\checkmark$  group number  $\checkmark$  edge covering  $\checkmark$ 



Still need  $\partial W := W \cap X$  is small!

Idea: if  $\partial W > c\sqrt{|W|}$ , separate W with balance wrt.  $\partial W$ .

Hitting set via local search (Mustafa–Ray; Chan–Har-Peled 2008)

# Hitting set for halfspaces

#### Hitting set

Given a set  $P \subset \mathbb{R}^d$  of points and a set  $\mathcal{D} \subset 2^{\mathbb{R}^d}$  of ranges, find minimum size  $Q \subset P$  such that all ranges are "hit": for any  $D \in \mathcal{D}$ ,  $D \cap Q \neq \emptyset$ .

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APX-hard even for fat triangles

E.g.: hitting disks, hitting triangles, hitting halfspaces in  $\mathbb{R}^3$ 



For each disk  $D \in \mathcal{D}$ , take ball Btouching v and  $B \cap H = D$ Inversion with center v maps each ball to halfspace. Point-disk containment is preserved

#### Local search:

Given a feasible hitting set Q, a valid local search step removes k elements of Q and adds k-1 other elements so that the result is still a feasible hitting set.

A feasible hitting set Q is k-locally optimal if there are no valid local search steps.

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**Theorem (Mustafa–Ray 2010).** There is a c > 0 such that the  $(c/\varepsilon^2)$ -locally optimal hitting set for halfspaces in  $\mathbb{R}^3$  is a  $(1 + \varepsilon)$ -approximation of the minimum hitting set.

# The locality condition

# Locality condition

**Definition.** A range space  $(P, \mathcal{D})$  has the locality condition if for any pair of disjoint sets  $R, B \subset P$  there is a planar bipartite graph G bewteen R and B s.t. for any  $D \in \mathcal{D}$  intersecting both R and B we have some  $uv \in E(G)$  with  $u \in D \cap R$  and  $v \in D \cap R$ .

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**Example**: disks in the plane *G*: subgraph of Delaunay triangulation of  $P' = R \cup B$ 



**Claim.** For any disk  $D \subset \mathbb{R}^2$ ,  $DT(P')|_{P'\cap D}$  is connected.

For  $u \in D \cap R$  and  $v \in D \cap B$ , there is a conencting path in  $DT(D \cap P')$ , which contains red-blue edge

**Theorem.**  $(P, \mathcal{D})$  is range space satisfying locality condition, R is optimal hitting set, B is k-locally optimal, and  $R \cap B = \emptyset$ . Then there is planar G = (R, B, E) s.t. for all  $B' \subset B$  with  $|B'| \leq k$ , we have large neighborhood:  $|N(B')| \geq |B'|$ 

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Proof. B and R are both hitting sets  $\Rightarrow$  every range has  $\ge 1$  pt from both If  $B' \subset B$ , then  $(B \setminus B') \cup N(B')$  is hitting: if only B' hits D from B, then some  $b \in B'$  has red neighbor hitting D, otherwise  $B \setminus B'$  hits D.

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If  $R \cap B = I \neq \emptyset$ , then let  $\mathcal{D}' =$  ranges not hit by I. Use the same on  $(P \setminus I, \mathcal{D}')$ . If  $B_0$  is  $(1 + \varepsilon)$ -approx on  $(P \setminus I, \mathcal{D}') \rightarrow B_0 \cup I$  is  $(1 + \varepsilon)$ -approx on  $(P, \mathcal{D})$ 

**Theorem.** Let G = (R, B, E) bipartite planar, s.t. for every  $B' \subset B$  of size  $|B'| \leq k$ ,  $|N(B')| \geq |B'|$ . Then  $|B| \leq (1 + c/\sqrt{k})|R|$  for some constant c.

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Proof. r := |R|, b := |B|,Use k-division of  $G. \to V_1, V_2, \ldots$  $V_i$  has boundary  $V_i \cap (\bigcup_{j \neq i} V_j)$  and interior  $V_i \setminus (\bigcup_{j \neq i} V_j).$  $r_i^{\partial}, b_i^{\partial}, r_i^{int}, b_i^{int} : \# \text{ red/blue in } V_i \text{ in boundary and interior.}$ 

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•  $\sum_{i} (r_i^{\partial} + b_i^{\partial}) \le \gamma (r+b) / \sqrt{k}$  ( $\gamma = \text{const}$ ) (by k-division)

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Use k-division of  $G. \to V_1, V_2, ...$   
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•  $\sum_i (r_i^{\partial} + b_i^{\partial}) \le \gamma(r+b)/\sqrt{k}$  ( $\gamma = \text{const}$ ) (by k-division)  
•  $b_i^{int} \le r_i^{int} + r_i^{\partial}$  ( $b_i^{int} \le k$  so it has large neighborhood)  
 $b_i^{int} + b_i^{\partial} \le r_i^{int} + r_i^{\partial} + b_i^{\partial}$   
 $b \le \sum_i (b_i^{int} + b_i^{\partial}) \le \sum_i r_i^{int} + \sum_i (r_i^{\partial} + b_i^{\partial}) \le r + \gamma(r+b)/\sqrt{k}$ 

#### Locality condition wrap-up

$$b \le r + \gamma(r+b)/\sqrt{k}$$

If  $k \geq 4\gamma^2$ , then

$$b \le r \frac{1 + \gamma/\sqrt{k}}{1 - \gamma/\sqrt{k}} \le \dots \le r(1 + c/\sqrt{k})$$

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**Theorem.** Locality condition implies PTAS for hitting set with running time  $n^{O(1/\varepsilon^2)}$ .

**Theorem.** Hitting disks with points in  $\mathbb{R}^2$  has a PTAS with running time  $n^{O(1/\varepsilon^2)}$ .

# Locality condition for half-spaces

#### Radon's theorem

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*Proof.* Let 
$$P = \{p_1, \ldots, p_{d+2}\}$$
.  
There exsists  $\lambda_1, \ldots, \lambda_{d+2}$  not all 0 s.t.

$$\sum_{i=1}^{d+2} \lambda_i p_i = 0 \text{ and } \sum_{i=1}^{d+2} \lambda_i = 0.$$

Let I: indices i where  $\lambda_i > 0$ . (denote remaining indices by J) Then  $\sum_{i \in I} \lambda_i = -\sum_{j \in J} \lambda_j =: \mu$ , thus

$$p' := \sum_{i \in I} \frac{\lambda_i}{\mu} p_i = \sum_{j \in J} \frac{-\lambda_j}{\mu} p_j \in \operatorname{conv}(P|_I) \cap \operatorname{conv}(P|_J)$$

Locality for half-spaces: graph and embedding Recall: R and B disjoint hitting sets for a set  $\mathcal{D}$  of half-spaces. Need bipartite planar graph G on  $R \cup B$ , s.t. for any  $D \in \mathcal{D}$ containing both red and blue, there is an edge induced. Locality for half-spaces: graph and embedding Recall: R and B disjoint hitting sets for a set  $\mathcal{D}$  of half-spaces. Need bipartite planar graph G on  $R \cup B$ , s.t. for any  $D \in \mathcal{D}$ containing both red and blue, there is an edge induced.

Guess  $o \in P$  from hitting set, remove  $D \in \mathcal{D}$  that contains o.  $\Rightarrow$  wlog. o outside  $\bigcup_{D \in \mathcal{D}} D$  Locality for half-spaces: graph and embedding Recall: R and B disjoint hitting sets for a set  $\mathcal{D}$  of half-spaces. Need bipartite planar graph G on  $R \cup B$ , s.t. for any  $D \in \mathcal{D}$ containing both red and blue, there is an edge induced.

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Two stages:

- Add all red-blue edges of  $C := \partial \operatorname{conv}(R \cup B)$  to G, triangulate faces of C
- For p ∈ (R ∪ B) \ C, let p' be point where ray(o, p) exits C
   Define edges of p via p' in a triangle of C.
   ⇒ results in planar graph on C























If there is a corner c s.t. there is no halfspace  $h \subset \mathbb{R}^3$ containing only b, c among  $B_T \cup \{c_1, c_2, c_3, o\}$ , then connect b'to other two corners



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**Claim.** For all but one  $b \in B_T$  there is such a corner.

## Corner connections via Radon's thm

**Claim.** For all but one  $b \in B_T$  there is a corner c s.t. there is no halfspace containing just b, c among  $B_T \cup \{o, c_1, c_2, c_3\}$ .

*Proof.* by contradiction: assume  $b_1, b_2 \in B_T$  have no good corner. There are halfspaces containing exactly  $b_i c_j \ (i = 1, 2; j = 1, 2, 3)$  among  $F := \{b_1, b_2, c_1, c_2, c_3\}$ 

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F in convex position. Radon thm gives 2:3 partition of F.

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 $\Rightarrow$  there is no halfspace containg exactly  $b_1, c_1$ 



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- Let D' ⊂ D be halfspace parallel to ∂D, smallest that contains both red and blue. D' has 1 blue b on its boundary. o ∉ D ⇒ o ∉ D' ⇒ D' has ≥ 1 corner c of the traingle of b'. If D' contains c, c', then at least one connects to b. If D' contains just c, then bc ∈ E(G) by def of G.

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- Locality condition can be proved for several obejct types in  $\mathbb{R}^2$ , for hitting/covering/packing Most general: hitting/covering/packing non-piercing objects
- Analysis is tight:  $k = o(1/\varepsilon^2)$  local search doesn't work
- general lower bounds of  $n^{\Omega(1/\varepsilon)}$

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#### Exact has matching lower bound.

**Theorem.** Hitting set of size k for halfspaces with points in  $\mathbb{R}^3$  can be computed in time  $n^{O(\sqrt{k})}$ .

In  $\mathbb{R}^{\geq 4}$ , there is  $n^{\Omega(k)}$  lower bound.