

## Exercise 3: Impossible!

### Task 1: Stop Failing, You Cowards!

The goal of this exercise is to show that under the synchronous message passing model, for any consensus algorithm there are executions with  $f$  crashes in which solving consensus requires at least  $f + 1$  rounds.

- a) Show that there are inputs differing at a single *pivotal* node  $v_0$  that result in different outputs in the respective (unique) maximal fault-free extensions. (Hint: Use the same argument as for the asynchronous case.)
- b) Prove that, given a pair of  $r$ -round executions with a pivotal node  $v_r$  (i.e., only this node's state makes the difference between outputs 0 and 1 in case there are no further faults), crashing the node "in the right way" yields a pair of  $(r + 1)$ -round executions with a new pivotal node  $v_{r+1}$ . (Hint: The reasoning is similar as for a), but the "inputs" are replaced by the messages of  $v_r$  in round  $r$  of each of the executions — or their absence due to the node crashing.)
- c) Conclude that for any  $f < n$ , there are executions with  $f$  faults in which some node neither crashes nor terminates earlier than round  $f + 1$ .

### Task 2: Impossible? We'll Do it in $f + 2$ Rounds!

The topology: complete. The model: synchronous message passing. The task: consensus. The challenge: crash faults.

- a) Suppose each node maintains a bit  $p_i$ . In each round, each node sends its bit to all other nodes and sets it to 0 if it received a 0. Show that if a node receives the same set of messages from the same set of senders in two consecutive rounds, all nodes have the same bit  $p_i$ .
- b) Use this observation to construct a synchronous consensus algorithm tolerating an arbitrary number of faults.
- c) Prove that the algorithm is correct and terminates in at most  $f + 3$  rounds in executions with at most  $f$  faults.
- d)\* Modify the algorithm to terminate in  $f + 2$  rounds under the assumption that  $n$  is known!

Remark: Note that the algorithm can deal with an arbitrary number of faults, yet the running time is bounded in terms of the *actual* faults happening. This property is called *early-stopping*. Given that faults are supposed to be uncommon events, that's pretty neat!

### Task 3\*: Intense Sharing

- a) Find out what the term "consensus number" refers to!
- b) Ponder the consensus number of shared memory that, besides atomic reads, permits to write to up to  $k > 1$  shared registers in a single atomic step!
- c) Share your insights in the exercise session!