Exercise 4: Extreme Democracy

Task 1: Everyone Gets exactly one Vote... $(3 + 3 + 3 + 2)$

The goal of this exercise is to prove correct the asynchronous safe broadcast algorithm by Bracha. It tolerates $f < n/3$ Byzantine faults, so we will assume that this condition holds.

Algorithm 1 Code of the safe broadcast algorithm at node v. The input message M is given to the designated source node s; every node knows s. Any applied thresholds require messages from different nodes; duplicate messages from the same sender are dropped.

1: **if** $v = s$ **then**
2: send init(M) to all nodes (including self)
3: **end if**
4: **Stage 1:** wait until received
- one init(M') message from s,
- $n - f$ echo(M') messages, or
- $n - 2f$ ready(M') messages

for some M'
5: send echo(M') to all nodes (including self)
6: **Stage 2:** wait until received
- $n - f$ echo(M') messages, or
- $n - 2f$ ready(M') messages

for some M' (including those from stage 1)
7: send ready(M') to all nodes (including self)
8: **Stage 3:** wait until received
- $n - f$ ready(M') messages

for some M' (including those from earlier stages)
9: output M'

a) Show that if s is correct, eventually all correct nodes output M!

Hint: Argue that faulty nodes cannot make correct nodes send a "non-M" message. Conclude that all nodes pass all stages for M.

b) Show that if a correct node broadcasts a ready(M') message, no correct node broadcasts a ready(M'') message for $M'' \neq M'$!

Hint: Use that correct nodes broadcast only one echo($\cdot$) message, but the first nodes broadcasting ready($\cdot$) messages must do so because of receiving many echoes!

c) Show that if a correct node outputs a message M', eventually all correct nodes output M'!

Hint: Use b) to show that no correct node can pass stage 2 for $M'' \neq M'$. Then argue that eventually nodes get "pulled" through the first two stages because they receive sufficiently many ready(M') messages.

d) Conclude that the algorithm correctly implements safe broadcast!

Task 2: . . . and then a Random Decision is Taken! (3+3+3+2+2)

Consider the following shared coin.

Algorithm 2 Simple weak shared coin (code at node v).

1: flip an unbiased coin
2: send the result to everyone (also self)
3: wait until received bits from $n - f$ different senders
4: output the majority value (0 in case of a draw)

The goal of this exercise is to demonstrate how straight-forward it is to translate strong guarantees about f into strong defiance.

a) Show that if $f < n/3$, this algorithm implements a weak shared coin with defiance 2^{-n}.

b) Show that if $f \in \mathcal{O}(\sqrt{n})$, this algorithm implements a weak shared coin with constant defiance.

Hint: By the central limit theorem, the binomial distribution converges to a normal distribution for $n \to \infty$, in the sense that the relative error of approximating it by the normal distribution goes to 0. Check the standard deviation of the binomial distribution and make use of this connection.

c) Show that if $f = \alpha\sqrt{n}$ for $\alpha \in [1, \sqrt{n}/3]$, then this algorithm implements a weak shared coin with defiance $2^{-\mathcal{O}(\alpha^2)}$.

Hint: Check out the section on tail bounds of the binomial distribution on Wikipedia.

d) Use this to show that for every $f < n/4$, there is an asynchronous consensus algorithm tolerating up to f faults that terminates in expected time $2^{\mathcal{O}(\lceil f^2/n \rceil)}$.

e) Can this approach be used to create an algorithm that tolerates any number of $f < n/4$ faults, but terminates faster if the actual number of faults is small? (An educated guess suffices, you don't need to prove your answer correct here.)

Task 3*: Lecturing the Lecturer (1 + 1 + 1)

a) Find out why Byzantine failures are called Byzantine!

b) Conclude that the lecturer is biased towards always pointing at the same person. Which celebrities of distributed computing could/should be featured instead?[1]

c) Tell the tale of how Byzantine faults have been named and the heroes that have fought them throughout the decades in the exercise session!

[1] And anyway, shouldn't he stop asking vague questions?