A CDCL(T) problem state is a five-tuple (M; N; U; k; C) where N is the propositional abstraction of some clause set N',  $N = \operatorname{atr}(N')$ , M a sequence of annotated propositional literals, U is a set of detived propositional clauses,  $k \in \mathbb{N} \cup \{-1\}$ , and C is a propositional clause or  $\top$  or  $\bot$ . In particular, the following states can be distinguished:

$$(\epsilon; N; \emptyset; 0; \top)$$
  
 $(M; N; U; -1; \top)$   
 $(M; N; U; k; \bot)$   
 $(M; N; U; k; \top)$   
 $(M; N; U; k; D)$ 

is the start state for some clause set *N* is a final state, where  $\operatorname{atr}^{-1}(M) \models_{\mathcal{T}} N'$ is a final state, where *N'* has no model is a model search state if  $k \neq 0$ is a backtracking state if  $D \notin \{\top, \bot\}$ 



**Propagate**  $(M; N; U; k; \top) \Rightarrow_{CDCL} (ML^{C \lor L}; N; U; k; \top)$ provided  $C \lor L \in (N \cup U), M \models \neg C$ , and *L* is undefined in *M* 

**Decide**  $(M; N; U; k; \top) \Rightarrow_{CDCL} (ML^{k+1}; N; U; k+1; \top)$ provided *L* is undefined in *M* 

**Conflict**  $(M; N; U; k; \top) \Rightarrow_{CDCL} (M; N; U; k; D)$ provided  $D \in (N \cup U)$  and  $M \models \neg D$ 



Skip $(ML^{C \lor L}; N; U; k; D) \Rightarrow_{CDCL} (M; N; U; k; D)$ provided  $D \notin \{\top, \bot\}$  and comp(L) does not occur in D

**Resolve**  $(ML^{C \lor L}; N; U; k; D \lor comp(L)) \Rightarrow_{CDCL} (M; N; U; k; D \lor C)$ 

provided D is of level k

**Backtrack**  $(M_1 K^{i+1} M_2; N; U; k; D \lor L) \Rightarrow_{CDCL} (M_1 L^{D \lor L}; N; U \cup \{D \lor L\}; i; \top)$ 

provided L is of level k and D is of level i.

**Restart**  $(M; N; U; k; \top) \Rightarrow_{CDCL} (\epsilon; N; U; 0; \top)$ provided  $M \not\models N$ 

**Forget**  $(M; N; U \uplus \{C\}; k; \top) \Rightarrow_{CDCL} (M; N; U; k; \top)$ provided  $M \not\models N$ 



Note that these rules are exactly the rules of CDCL from Section 2.9. The only difference that any normal form  $(M; N; U; k; \top)$  was a final state in CDCL, but not in CDCL(T) because  $k \neq -1$ . On the other hand, if CDCL derives the empty clause, i.e.,  $\bot$ , then this is also a final state for CDCL(T), see Lemma 7.2.1. The  $\mathcal{T}$  rules are missing that in particular check whether the propositional model is in fact also a theory model.



 $\mathcal{T}\text{-Success} \qquad (M; N; U; k; \top) \Rightarrow_{\text{CDCL}(\mathsf{T})} (M; N; U; -1; \top)$ provided  $M \models (N \cup U)$  and  $\operatorname{atr}^{-1}(M)$  is  $\mathcal{T}$ -satisfiable

 $\mathcal{T}$ -**Propagate**  $(M; N; U; k; \top) \Rightarrow_{CDCL(T)} (ML^{C \lor L}; N; U; k; \top)$ provided,  $\operatorname{atr}^{-1}(M)$  is  $\mathcal{T}$ -satisfiable, L is undefined in M but atom(L) occurs in  $N \cup U$ , and there are literals  $L_1, \ldots, L_n$  from Mwith  $\operatorname{atr}^{-1}(L_1), \ldots, \operatorname{atr}^{-1}(L_n) \models_{\mathcal{T}} \operatorname{atr}^{-1}(L)$  and  $C = \operatorname{comp}(L_1) \lor \ldots \lor \operatorname{comp}(L_n)$ 

 $\begin{array}{ll} \mathcal{T}\text{-Conflict} & (M; N; U; k; \top) \Rightarrow_{\text{CDCL}(T)} \\ (\epsilon; N; U \cup \{\neg L_1 \lor \ldots \lor \neg L_n\}; 0; \top) \\ \text{provided there are literals } L_1, \ldots, L_n \text{ from } M \text{ with } \\ \text{atr}^{-1}(L_1), \ldots, \text{atr}^{-1}(L_n) \models_{\mathcal{T}} \bot \end{array}$ 

