

# Normal Forms

## Definition (CNF, DNF)

A formula is in *conjunctive normal form (CNF)* or *clause normal form* if it is a conjunction of disjunctions of literals, or in other words, a conjunction of clauses.

A formula is in *disjunctive normal form (DNF)*, if it is a disjunction of conjunctions of literals.

Checking the validity of CNF formulas or the unsatisfiability of DNF formulas is easy:

(i) a formula in CNF is valid, if and only if each of its disjunctions contains a pair of complementary literals  $P$  and  $\neg P$ ,

(ii) conversely, a formula in DNF is unsatisfiable, if and only if each of its conjunctions contains a pair of complementary literals  $P$  and  $\neg P$

# Basic CNF Transformation

<b>ElimEquiv</b>	$\chi[(\phi \leftrightarrow \psi)]_p \Rightarrow_{\text{BCNF}} \chi[(\phi \rightarrow \psi) \wedge (\psi \rightarrow \phi)]_p$
<b>ElimImp</b>	$\chi[(\phi \rightarrow \psi)]_p \Rightarrow_{\text{BCNF}} \chi[(\neg\phi \vee \psi)]_p$
<b>PushNeg1</b>	$\chi[\neg(\phi \vee \psi)]_p \Rightarrow_{\text{BCNF}} \chi[(\neg\phi \wedge \neg\psi)]_p$
<b>PushNeg2</b>	$\chi[\neg(\phi \wedge \psi)]_p \Rightarrow_{\text{BCNF}} \chi[(\neg\phi \vee \neg\psi)]_p$
<b>PushNeg3</b>	$\chi[\neg\neg\phi]_p \Rightarrow_{\text{BCNF}} \chi[\phi]_p$
<b>PushDisj</b>	$\chi[(\phi_1 \wedge \phi_2) \vee \psi]_p \Rightarrow_{\text{BCNF}} \chi[(\phi_1 \vee \psi) \wedge (\phi_2 \vee \psi)]_p$
<b>ElimTB1</b>	$\chi[(\phi \wedge \top)]_p \Rightarrow_{\text{BCNF}} \chi[\phi]_p$
<b>ElimTB2</b>	$\chi[(\phi \wedge \perp)]_p \Rightarrow_{\text{BCNF}} \chi[\perp]_p$
<b>ElimTB3</b>	$\chi[(\phi \vee \top)]_p \Rightarrow_{\text{BCNF}} \chi[\top]_p$
<b>ElimTB4</b>	$\chi[(\phi \vee \perp)]_p \Rightarrow_{\text{BCNF}} \chi[\phi]_p$
<b>ElimTB5</b>	$\chi[\neg\perp]_p \Rightarrow_{\text{BCNF}} \chi[\top]_p$
<b>ElimTB6</b>	$\chi[\neg\top]_p \Rightarrow_{\text{BCNF}} \chi[\perp]_p$

# Basic CNF Algorithm

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1 **Algorithm: 2**  $\text{bcnf}(\phi)$

**Input** : A propositional formula  $\phi$ .

**Output**: A propositional formula  $\psi$  equivalent to  $\phi$  in CNF.

2 **whilerule** ( $\text{ElimEquiv}(\phi)$ ) **do** ;

3 **whilerule** ( $\text{ElimImp}(\phi)$ ) **do** ;

4 **whilerule** ( $\text{ElimTB1}(\phi), \dots, \text{ElimTB6}(\phi)$ ) **do** ;

5 **whilerule** ( $\text{PushNeg1}(\phi), \dots, \text{PushNeg3}(\phi)$ ) **do** ;

6 **whilerule** ( $\text{PushDisj}(\phi)$ ) **do** ;

7 **return**  $\phi$ ;

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# Advanced CNF Algorithm

For the formula

$$P_1 \leftrightarrow (P_2 \leftrightarrow (P_3 \leftrightarrow (\dots (P_{n-1} \leftrightarrow P_n) \dots)))$$

the basic CNF algorithm generates a CNF with  $2^{n-1}$  clauses.



## 2.5.4 Proposition (Renaming Variables)

Let  $P$  be a propositional variable not occurring in  $\psi[\phi]_p$ .

1. If  $\text{pol}(\psi, p) = 1$ , then  $\psi[\phi]_p$  is satisfiable if and only if  $\psi[P]_p \wedge (P \rightarrow \phi)$  is satisfiable.
2. If  $\text{pol}(\psi, p) = -1$ , then  $\psi[\phi]_p$  is satisfiable if and only if  $\psi[P]_p \wedge (\phi \rightarrow P)$  is satisfiable.
3. If  $\text{pol}(\psi, p) = 0$ , then  $\psi[\phi]_p$  is satisfiable if and only if  $\psi[P]_p \wedge (P \leftrightarrow \phi)$  is satisfiable.

# Renaming

**SimpleRenaming**  $\phi \Rightarrow_{\text{SimpRen}} \phi[P_1]_{p_1}[P_2]_{p_2} \dots [P_n]_{p_n} \wedge$   
 $\text{def}(\phi, p_1, P_1) \wedge \dots \wedge \text{def}(\phi[P_1]_{p_1}[P_2]_{p_2} \dots [P_{n-1}]_{p_{n-1}}, p_n, P_n)$   
provided  $\{p_1, \dots, p_n\} \subset \text{pos}(\phi)$  and for all  $i, i+j$  either  $p_i \parallel p_{i+j}$  or  
 $p_i > p_{i+j}$  and the  $P_i$  are different and new to  $\phi$

Simple choice: choose  $\{p_1, \dots, p_n\}$  to be all non-literal and non-negation positions of  $\phi$ .